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**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

**Washington, DC 20549**

**Form SD**

**Specialized Disclosure Report**

**GENERAL MOTORS COMPANY**

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*(Exact Name of Registrant as Specified in its Charter)*

**STATE OF DELAWARE**

**001-34960**

**27-0756180**

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*(State or other jurisdiction of Incorporation  
or Organization)*

*(Commission File Number)*

*(IRS Employer Identification No.)*

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**300 Renaissance Center, Detroit, Michigan**

**48265-3000**

*(Address of principal executive offices)*

*(Zip Code)*

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**Mark L. Reuss - Executive Vice President, Global Product Development, Purchasing and Supply Chain (313) 667-1500**

*(Name and telephone number, including area code, of the person to contact in connection with this report)*

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2017

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## INFORMATION TO BE INCLUDED IN THE REPORT

### Section 1 - Conflict Minerals Disclosure

#### Item 1.01 Conflict Minerals Disclosure and Report

A Conflict Minerals Report is filed as Exhibit 1.01 and is also publicly available at the following Internet website:  
<http://www.gm.com/investors/sec-filings.html>.

#### Item 1.02 Exhibit

The Conflict Minerals Report required by Item 1.01 and 1.02 is filed as Exhibit 1.01 to this Form SD.

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### Section 2 - Exhibits

#### Item 2.01 Exhibits

Exhibit 1.01 - [Conflict Minerals Report](#)

\* \* \* \* \*

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

GENERAL MOTORS COMPANY  
(Registrant)

Date: May 31, 2018

By: /s/ Mark L. Reuss  
Mark L. Reuss  
Executive Vice President, Global Product Development,  
Purchasing and Supply Chain

## Conflict Minerals Report

This Conflict Minerals Report (CMR) of General Motors Company is for calendar year 2017 in accordance with Rule 13p-1 under the Securities Exchange Act of 1934, as amended (the SEC Rule). The SEC Rule was adopted by the Securities and Exchange Commission (SEC) to implement reporting requirements related to conflict minerals as directed by the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010. The SEC Rule imposes certain reporting obligations on SEC registrants whose manufactured products contain conflict minerals. The SEC Rule defines conflict minerals as columbite-tantalite (coltan), cassiterite, gold, wolframite, or their derivatives, which are limited to tantalum, tin, and tungsten (3TG), and any other mineral or its derivatives determined by the U.S. Secretary of State to be financing conflict in the Democratic Republic of the Congo or an adjoining country. In this CMR, references to General Motors, GM, our, us, or we refer to General Motors Company.

### I. Company and Supply Chain Overview

We, through our direct and indirect subsidiaries, design, build, and sell cars, trucks, crossovers, and automobile parts worldwide. Our supply chain is a complex global network of tiered suppliers that directly or indirectly supply raw materials, components and services to our global facilities.

With respect to the 3TG in our products, generally, multiple tiers of supplier manufacturers exist between the smelters/refiners that process 3TG and the first tier supplier that delivers parts to us. We have no direct business relationship with any smelters/refiners of 3TG. We rely on our suppliers at the top tier of our supply chain, the suppliers we have direct contracts with, to provide information on the origin of, and facilities used to process, the 3TG contained in components and materials supplied to us.

We have conducted a Reasonable Country of Origin Inquiry (RCOI) and performed reasonable due diligence on the source and chain of custody of 3TG contained in components and material supplied to us. Based on the results of our RCOI, and after conducting reasonable due diligence, we are unable to definitively determine the origin of the 3TG utilized in our products.

### II. Reasonable Country of Origin Inquiry

To conduct an RCOI, we solicited conflict minerals declarations from our first tier suppliers of parts that we believe may contain 3TG. These parts include original equipment incorporated into our vehicles, as well as service and aftermarket parts. We used the industry standard reporting form, the Conflict Minerals Reporting Template (CMRT) published by the Responsible Minerals Initiative (RMI and formerly known as the Conflict-Free Sourcing Initiative), to obtain information from these suppliers regarding their manufacturing locations that we believe may provide the 3TG-containing products. We identified these supplier locations based on information in our material data system and prior year supplier CMRT submissions. We also surveyed certain of our employees whose function may provide relevant information to identify any additional supplier locations that may supply us with products that contain 3TG. For 2017, we received completed CMRTs from 90% of the 4,889 supplier locations that we believe supply components to GM that contain 3TG.

### III. Due Diligence Process

To obtain information regarding the source and chain of custody of 3TG, we conducted due diligence through our direct suppliers based on the Organisation for Economic Co-operation and Development (OECD) Due Diligence Guidance for Responsible Supply Chains of Minerals from

Conflict-Affected and High-Risk Areas published by the OECD. The OECD Guidance provides an internationally recognized framework for conflict minerals due diligence.

## **A. Establish Strong Company Management Systems**

### **1. Company Conflict Minerals Policy**

GM's policies and practices, including its Conflict Minerals Policy, reflect our commitment to responsible sourcing strategies that mitigate negative social and environmental impacts. Through our Supplier Code of Conduct, we require similar commitments and efforts from our suppliers to source responsibly within our supply chain, including the use of 3TG. Our conflict minerals policy, which also is incorporated into our Sustainability Report, is found at <http://www.gmsustainability.com>. We also communicate this policy to our suppliers through our supplier portal. The policy is as follows:

#### **CONFLICT MINERALS POLICY**

*General Motors is committed to sustainable and responsible sourcing of goods and services throughout our supply chain. GM supports the human rights goals reflected in the adoption of conflict mineral due diligence requirements included in the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010. GM has a zero tolerance policy against the use of child labor, and prohibits abusive treatment to employees and corrupt business practices in our supply base.*

*Through industry collaboration, GM has adopted a common methodology to obtain chain of custody declarations from suppliers to increase the transparency of conflict minerals in our global supply chain. GM has been an active contributor within the Automotive Industry Action Group (AIAG) in developing an automotive industry wide approach to reporting the use of conflict minerals, and educating suppliers about their roles and responsibilities.*

*We require our suppliers to engage in supply chain due diligence to improve understanding and reporting of component content supplied to GM. Further, we encourage our suppliers to source responsibly with validated conflict free smelters, wherever possible, to increase our level of confidence that the components in our vehicles and products contain conflict free minerals. We recognize that our sourcing decisions may cause economic consequences in impacted regions and seek to avoid inadvertent adverse economic impact attributable to conflict mineral due diligence activities.*

### **2. Internal Management Team**

We have created a compliance group within our Global Purchasing and Supply Chain function that is responsible for among other things, overseeing and enhancing our sustainability and compliance efforts, including conflict minerals, supplier awareness programs, trade restrictions and similar efforts. By consolidating these efforts under the guidance of a single director, we seek to implement our sustainability policies and achieve our goals.

Within this compliance-focused group, we have a full-time team to lead our conflict minerals compliance program. To support this team, we organized a Conflict Minerals Compliance Committee, composed of representatives from the following functional areas: Global Purchasing and Supply Chain, Legal, Public Policy, Finance, Information Technology, Communications, SEC Reporting, and Materials

Engineering. The Conflict Minerals Compliance Committee provides cross functional expertise for our conflict minerals compliance program. We also have a Conflict Minerals Executive Steering Committee composed of Executives in Global Purchasing and Supply Chain, Legal, and Public Policy. The Executive Steering Committee exercises oversight over the conflict minerals compliance program.

We have adopted a Supplier Code of Conduct, which incorporates our Conflict Minerals Policy as well as other socially responsible policies related to human rights and the environment. GM also is a signatory to the UN Global Compact and a member of the Responsible Materials Initiative (RMI).

### **3. System of Supply Chain Controls and Transparency**

Since 2013, our standard terms and conditions for purchase contracts require our direct suppliers to report their use of 3TG in products supplied to us. We expect our suppliers to be fair, humane, and lawful employers, and to enforce similar requirements with their sub-suppliers. We rely on our first tier suppliers, as part of their response process to our request for conflict minerals information, to solicit and compile information from lower tier suppliers comprising our supply chain. We review each of the first tier supplier responses and work with our suppliers, as necessary, to improve the accuracy of the information we receive, both directly from our first tier suppliers as well as indirectly from downstream suppliers.

We also participate in conflict minerals initiatives with other automobile manufacturers through the Automotive Industry Action Group (AIAG), a non-profit automotive industry trade association. Through our membership with AIAG, we collaborate with other AIAG member companies to develop common methods to obtain 3TG chain of custody declarations from suppliers in the automotive industry.

### **4. Supplier Engagement**

We engage with our suppliers to assist them in building capabilities to improve chain of custody declarations and to increase the transparency of 3TG in our global supply chain. We communicate our conflict minerals reporting requirements to suppliers via various mechanisms including teleconferences, emails, publications, and webinars. We have also established a direct email address (email address: [conflictminerals@gm.com](mailto:conflictminerals@gm.com)) to provide our suppliers a streamlined path of communication relating to conflict minerals. We make conflict minerals documents available through our supplier portal and have created and provide FAQs, instructions, webinar presentations, and leadership messages relating to conflict minerals.

### **5. Grievance Mechanism**

We maintain procedures for reporting potential safety concerns, potential misconduct, and concerns about potential ethical violations, including concerns regarding conflict minerals. One of those methods is the “Awareline,” which allows employees, suppliers, and others to report concerns about us, our management, supervisors, employees, or agents. Reports can be made to “Awareline” in over a dozen different languages 24 hours per day, 7 days per week by phone, web, email, postal service or fax. Individuals filing reports on the “Awareline” may remain anonymous as permitted by law. A link to access information for the “Awareline” is: <https://www.awareline.com/index.htm>.

GM employees and contract workers may also communicate, anonymously or otherwise, with our independent Monitor, appointed in October 2015 under GM’s Deferred Prosecution Agreement with the US Attorney for the Southern District of New York. The Monitor maintains an independent toll-free phone

number for reporting any violation of law or unethical conduct, as well as a globally available online web form.

## **B. Identify and Assess Risk in the Supply Chain**

We analyzed the CMRT responses for completeness and consistency. We also requested suppliers who reported procuring 3TG from a covered country to report to us the identity of the applicable smelters/refiners. We then compared these identified smelters/refiners to those listed in the Conflict-Free Smelter Program to identify suppliers that deliver parts that may contain 3TG from smelters/refiners that are not in the Conflict-Free Smelter Program. The Conflict-Free Smelter Program was recently changed to the Responsible Minerals Assurance Process (RMAP). The RMAP uses an independent third-party to validate the smelter/refiner processes for responsible mineral procurement. The audit, employed by the third party, follows the RMAP established protocols for the given mineral and current global standards.

We are also a member company of the RMI. Through our membership (ID # 5515), we are able to obtain specific information on smelters/refiners that is available only to RMI member companies. We use this information internally within GM, to analyze the smelter lists provided to us through our suppliers' CMRT submissions. We provided feedback to those suppliers relating to consistency of their submission and we provided to suppliers the names of smelters/refiners listed in their CMRTs that are not yet in the RMAP. We requested our suppliers, through individual emails, to send letters to these smelters/refiners to join this program.

In addition to the smelter name, the CMRT form also seeks information related to the location (country) of the mine from which the 3TG is procured. Although we requested that our suppliers include this information in their responses, not all suppliers provided responses with country (origin) information. We will continue to educate our suppliers on the importance of determining the origin of 3TG.

## **C. Design and Implement a Strategy to Respond to Identified Risk**

As previously described, we review each supplier CMRT response in order to (i) provide feedback to our suppliers and (ii) identify risks in our supply chain related to use of 3TG. We also have established a formal escalation process for resolving concerns regarding the use of 3TG in our supply chain. Specifically, when our conflict minerals team identifies defects, inconsistencies, or other problems in a supplier's CMRT response (including a failure to respond), we first attempt to work directly with the supplier to resolve the issue. If our conflict minerals team does not receive an acceptable response from the supplier, the issue is escalated to the appropriate leadership, and eventually to the Conflict Minerals Executive Steering Committee. If necessary, we may preclude a supplier from receiving future business if the concern is not resolved.

Smelter/refiner outreach and certification are also important risk mitigation components of our conflict minerals program. To increase the number of conflict-free smelters/refiners, we conducted outreach to 118 smelters/refiners by sending letters to encourage them to join the RMAP. We also encouraged our suppliers to send outreach letters to the smelters/refiners in their smelter lists who are not validated as Conflict-Free by RMI or organizations recognized by RMI as validating smelters/refiners as Conflict-Free.

Results of our monitoring and outreach efforts are reported to the Conflict Minerals Executive Steering Committee at regular periodic meetings and tracked against prior year results.

#### **D. Carry Out Independent Third-Party Audit of Supply Chain Due Diligence**

Since we do not source directly with smelters/refiners, we rely on information from industry involvement and information available through our membership in RMI. We actively support industry-wide initiatives to improve both reporting and knowledge regarding the smelters/refiners supplying 3TG materials for our products.

Through contributions to the AIAG Conflict Minerals Work Group, we financially support visits to smelters/refiners to encourage these smelters/refiners to participate in the third party audit to become validated as conflict-free. In 2018, we also donated funds to the not-for-profit RMI Initial Audit Fund to help support smelters/refiners with their first formal audit to join the RMAP.

#### **E. Report on Supply Chain Due Diligence**

We file the Specialized Disclosure Form including the Conflict Minerals Report annually with the SEC as directed by the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010. Our Form SD and CMR are available on our website at <http://www.gm.com/investors/sec-filings.html>.

#### **IV. Steps We Have Taken or Will Take to Mitigate the Risk that Conflict Minerals in Our Products Benefit Armed Groups Including Improving Due Diligence**

During this reporting year, we experienced favorable improvement in two key metrics measuring the effectiveness of our conflict minerals program: (i) the number of supplier locations submitting CMRTs to us increased by 554, equating to a 13% increase over the prior year, and (ii) as identified by RMI, the number of smelters/refiners validated as conflict-free also increased over the prior year. We are continuing to work with our suppliers to gather more information through the supply chain. Through emails, webinars, and our conflict minerals policy, we are encouraging our suppliers to work with their supply chains to source responsibly, including utilizing conflict-free smelters/refiners.

We will continue to work with AIAG to further educate suppliers and utilize common methods to determine the origin of 3TG in our products. We co-chair the main AIAG Conflict Minerals Work Group. Through this group, we will continue to develop and participate in AIAG training and other 3TG initiatives.

We, as a member company of RMI, are further developing a reliable smelter/refiner list by assisting RMI in identifying legitimate smelters/refiners. Working with RMI and AIAG, we are sending letters to smelters/refiners and visiting smelters/refiners who are not yet engaged in the RMAP. We believe that increasing the participation of smelters/refiners in this program will not only expand the availability of conflict-free 3TG, but will also enhance the ability for our supply base to reliably source 3TG from conflict-free smelters/refiners.

#### **V. Product Determination**

Based on the results of our RCOI and after conducting due diligence, because of the complexity of our supply chain and the inherent imprecision of reporting information through our elaborate supply chain, we cannot confirm whether the 3TG reported to us by our suppliers, some of which may have been sourced from a covered country, are in our products. However, we have not identified any instances where 3TG minerals in our products are directly or indirectly financing or benefiting armed groups in the covered countries.

## VI. Appendix

Utilizing the information provided by our first tier suppliers in their CMRT submissions, we compiled a list of smelters/refiners that may provide 3TG used in our products. The smelter/refiner list is provided as an appendix in this report. However, because most of our suppliers reported 3TG usage at a company-wide level, the information provided by our suppliers, including the identity of smelters/refiners included in the appendix, may relate to non-GM products. In addition, not all of our suppliers provided to us smelter/refiner identity and country of origin information for 3TG. Further, we understand that smelters/refiners generally commingle minerals from different mine sources, which may further impair our suppliers' ability to track the source of 3TG. Accordingly, we cannot confirm the accuracy or completeness of the attached appendix of potential smelters/refiners and we cannot definitively determine the origin of all of the 3TG we utilize in our products.

We have listed 329 entities that are 3TG smelters/refiners. Based on current information from RMI, 267 are validated as being conflict free, 14 are either actively pursuing or in communication to join the RMAP, 35 require more outreach with letters/visits to join the program, 7 were found not to be conformant to the RMAP audit standard, 4 are under due diligence review by RMI, and 2 have temporarily ceased operations.

| <b>Metal</b> | <b>Standard Smelter Name</b>                      | <b>Smelter Identification</b> |
|--------------|---|-------------------------------|
| Tantalum     | Asaka Riken Co., Ltd.                             | CID000092                     |
| Tantalum     | Changsha South Tantalum Niobium Co., Ltd.         | CID000211                     |
| Tantalum     | D Block Metals, LLC                               | CID002504                     |
| Tantalum     | Duoluoshan  | CID000410                     |
| Tantalum     | Exotech Inc.                                      | CID000456                     |
| Tantalum     | F&X Electro-Materials Ltd.                        | CID000460                     |
| Tantalum     | FIR Metals & Resource Ltd.                        | CID002505                     |
| Tantalum     | Global Advanced Metals                            | CID000564                     |
| Tantalum     | Global Advanced Metals Aizu                       | CID002558                     |
| Tantalum     | Global Advanced Metals Boyertown                  | CID002557                     |
| Tantalum     | Guangdong Rising Rare Metals-EO Materials Ltd.    | CID000291                     |
| Tantalum     | Guangdong Zhiyuan New Material Co., Ltd.          | CID000616                     |
| Tantalum     | H.C. Starck Co., Ltd.                             | CID002544                     |
| Tantalum     | H.C. Starck GmbH                                  | CID000654                     |
| Tantalum     | H.C. Starck Hermsdorf GmbH                        | CID002547                     |
| Tantalum     | H.C. Starck Inc.                                  | CID002548                     |
| Tantalum     | H.C. Starck Ltd.                                  | CID002549                     |
| Tantalum     | H.C. Starck Smelting GmbH & Co. KG                | CID002550                     |
| Tantalum     | H.C. Starck Tantalum and Niobium GmbH             | CID002545                     |
| Tantalum     | Hengyang King Xing Lifeng New Materials Co., Ltd. | CID002492                     |
| Tantalum     | Jiangxi Dinghai Tantalum & Niobium Co., Ltd.      | CID002512                     |
| Tantalum     | Jiangxi Tuohong New Raw Material                  | CID002842                     |
| Tantalum     | Jiujiang Janny New Material Co., Ltd.             | CID003191                     |
| Tantalum     | Jiujiang JinXin Nonferrous Metals Co., Ltd.       | CID000914                     |
| Tantalum     | Jiujiang Tanbre Co., Ltd.                         | CID000917                     |
| Tantalum     | Jiujiang Zhongao Tantalum & Niobium Co., Ltd.     | CID002506                     |

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|----------|---|-----------|
| Tantalum | KEMET Blue Metals   | CID002539 |
| Tantalum | KEMET Blue Powder   | CID002568 |
| Tantalum | KEMET Corp.   | CID000963 |
| Tantalum | LSM Brasil S.A.   | CID001076 |
| Tantalum | Metallurgical Products India Pvt., Ltd.   | CID001163 |
| Tantalum | Mineracao Taboca S.A.   | CID001175 |
| Tantalum | Mitsui Mining and Smelting Co., Ltd.  | CID001192 |
| Tantalum | Ningxia Orient Tantalum Industry Co., Ltd.  | CID001277 |
| Tantalum | NPM Silmet AS   | CID001200 |
| Tantalum | Plansee SE  | CID001368 |
| Tantalum | Power Resources Ltd.  | CID002847 |
| Tantalum | QuantumClean  | CID001508 |
| Tantalum | Resind Industria e Comercio Ltda.   | CID002707 |
| Tantalum | RFH Tantalum Smeltery Co., Ltd./Yanling Jincheng Tantalum & Niobium Co., Ltd.         | CID001522 |
| Tantalum | Solikamsk Magnesium Works OAO   | CID001769 |
| Tantalum | Taki Chemical Co., Ltd.   | CID001869 |
| Tantalum | Telex Metals  | CID001891 |
| Tantalum | Ulba Metallurgical Plant JSC  | CID001969 |
| Tantalum | XinXing HaoRong Electronic Material Co., Ltd.   | CID002508 |
| Tantalum | Yichun Jin Yang Rare Metal Co., Ltd.  | CID002307 |
| Tin      | Alpha   | CID000292 |
| Tin      | An Vinh Joint Stock Mineral Processing Company  | CID002703 |
| Tin      | Chenzhou Yunxiang Mining and Metallurgy Co., Ltd.                                     | CID000228 |
| Tin      | China Tin Group Co., Ltd.   | CID001070 |
| Tin      | CNMC (Guangxi) PGMA Co., Ltd.   | CID000278 |
| Tin      | CV Ayi Jaya   | CID002570 |
| Tin      | CV Dua Sekawan  | CID002592 |
| Tin      | CV Gita Pesona  | CID000306 |
| Tin      | CV United Smelting  | CID000315 |
| Tin      | CV Venus Inti Perkasa   | CID002455 |
| Tin      | Dowa  | CID000402 |
| Tin      | Electro-Mechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock Company | CID002572 |
| Tin      | EM Vinto  | CID000438 |
| Tin      | Estanho de Rondonia S.A.  | CID000448 |
| Tin      | Fenix Metals  | CID000468 |
| Tin      | Gejiu Fengming Metallurgy Chemical Plant  | CID002848 |
| Tin      | Gejiu Jinye Mineral Company   | CID002859 |
| Tin      | Gejiu Kai Meng Industry and Trade LLC   | CID000942 |
| Tin      | Gejiu Non-Ferrous Metal Processing Co., Ltd.  | CID000538 |
| Tin      | Gejiu Yunxin Nonferrous Electrolysis Co., Ltd.  | CID001908 |
| Tin      | Gejiu Zili Mining And Metallurgy Co., Ltd.  | CID000555 |
| Tin      | Guangdong Hanhe Non-Ferrous Metal Co., Ltd.   | CID003116 |
| Tin      | Guanyang Guida Nonferrous Metal Smelting Plant  | CID002849 |
| Tin      | HuiChang Hill Tin Industry Co., Ltd.  | CID002844 |
| Tin      | Huichang Jinshunda Tin Co., Ltd.  | CID000760 |

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|-----|--|-----------|
| Tin | Jiangxi Ketai Advanced Material Co., Ltd.        | CID000244 |
| Tin | Jiangxi New Nanshan Technology Ltd.              | CID001231 |
| Tin | Magnu's Minerais Metais e Ligas Ltda.            | CID002468 |
| Tin | Malaysia Smelting Corporation (MSC)              | CID001105 |
| Tin | Melt Metais e Ligas S.A.                         | CID002500 |
| Tin | Metallic Resources, Inc.                         | CID001142 |
| Tin | Metallo Belgium N.V.                             | CID002773 |
| Tin | Metallo Spain S.L.U.                             | CID002774 |
| Tin | Mineracao Taboca S.A.                            | CID001173 |
| Tin | Minsur   | CID001182 |
| Tin | Mitsubishi Materials Corporation                 | CID001191 |
| Tin | Modeltech Sdn Bhd                                | CID002858 |
| Tin | Nghe Tinh Non-Ferrous Metals Joint Stock Company | CID002573 |
| Tin | O.M. Manufacturing (Thailand) Co., Ltd.          | CID001314 |
| Tin | O.M. Manufacturing Philippines, Inc.             | CID002517 |
| Tin | Operaciones Metalurgical S.A.                    | CID001337 |
| Tin | PT Aries Kencana Sejahtera                       | CID000309 |
| Tin | PT Artha Cipta Langgeng                          | CID001399 |
| Tin | PT ATD Makmur Mandiri Jaya                       | CID002503 |
| Tin | PT Babel Inti Perkasa                            | CID001402 |
| Tin | PT Babel Surya Alam Lestari                      | CID001406 |
| Tin | PT Bangka Prima Tin                              | CID002776 |
| Tin | PT Bangka Tin Industry                           | CID001419 |
| Tin | PT Belitung Industri Sejahtera                   | CID001421 |
| Tin | PT Bukit Timah                                   | CID001428 |
| Tin | PT DS Jaya Abadi                                 | CID001434 |
| Tin | PT Eunindo Usaha Mandiri                         | CID001438 |
| Tin | PT Inti Stania Prima                             | CID002530 |
| Tin | PT Karimun Mining                                | CID001448 |
| Tin | PT Kijang Jaya Mandiri                           | CID002829 |
| Tin | PT Lautan Harmonis Sejahtera                     | CID002870 |
| Tin | PT Menara Cipta Mulia                            | CID002835 |
| Tin | PT Mitra Stania Prima                            | CID001453 |
| Tin | PT Panca Mega Persada                            | CID001457 |
| Tin | PT Premium Tin Indonesia                         | CID000313 |
| Tin | PT Prima Timah Utama                             | CID001458 |
| Tin | PT Rajehan Ariq                                  | CID002593 |
| Tin | PT Refined Bangka Tin                            | CID001460 |
| Tin | PT Sariwiguna Binasentosa                        | CID001463 |
| Tin | PT Stanindo Inti Perkasa                         | CID001468 |
| Tin | PT Sukses Inti Makmur                            | CID002816 |
| Tin | PT Sumber Jaya Indah                             | CID001471 |
| Tin | PT Timah (Persero) Tbk                           | CID002772 |
| Tin | PT Timah (Persero) Tbk Kundur                    | CID001477 |
| Tin | PT Timah (Persero) Tbk Mentok                    | CID001482 |
| Tin | PT Tinindo Inter Nusa                            | CID001490 |

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|------|---|-----------|
| Tin  | PT Tommy Utama  | CID001493 |
| Tin  | Resind Industria e Comercio Ltda.                             | CID002706 |
| Tin  | Rui Da Hung   | CID001539 |
| Tin  | Soft Metais Ltda.   | CID001758 |
| Tin  | Super Ligas   | CID002756 |
| Tin  | Thaisarco   | CID001898 |
| Tin  | Tuyen Quang Non-Ferrous Metals Joint Stock Company            | CID002574 |
| Tin  | White Solder Metalurgia e Mineracao Ltda.                     | CID002036 |
| Tin  | Yunnan Chengfeng Non-ferrous Metals Co., Ltd.                 | CID002158 |
| Tin  | Yunnan Tin Company Limited                                    | CID002180 |
| Gold | Abington Reldan Metals, LLC                                   | CID002708 |
| Gold | Advanced Chemical Company                                     | CID000015 |
| Gold | Aida Chemical Industries Co., Ltd.                            | CID000019 |
| Gold | Al Etihad Gold LLC  | CID002560 |
| Gold | Allgemeine Gold-und Silberscheideanstalt A.G.                 | CID000035 |
| Gold | Almalyk Mining and Metallurgical Complex (AMMC)               | CID000041 |
| Gold | AngloGold Ashanti Corrego do Sitio Mineracao                  | CID000058 |
| Gold | Argor-Heraeus S.A.  | CID000077 |
| Gold | Asahi Pretec Corp.  | CID000082 |
| Gold | Asahi Refining Canada Ltd.                                    | CID000924 |
| Gold | Asahi Refining USA Inc.                                       | CID000920 |
| Gold | Asaka Riken Co., Ltd.   | CID000090 |
| Gold | Atasay Kuyumculuk Sanayi Ve Ticaret A.S.                      | CID000103 |
| Gold | AU Traders and Refiners                                       | CID002850 |
| Gold | Aurubis AG  | CID000113 |
| Gold | Bangalore Refinery  | CID002863 |
| Gold | Bangko Sentral ng Pilipinas (Central Bank of the Philippines) | CID000128 |
| Gold | Boliden AB  | CID000157 |
| Gold | C. Hafner GmbH + Co. KG                                       | CID000176 |
| Gold | Caridad   | CID000180 |
| Gold | CCR Refinery - Glencore Canada Corporation                    | CID000185 |
| Gold | Cendres + Metaux S.A.   | CID000189 |
| Gold | Chimet S.p.A.   | CID000233 |
| Gold | Chugai Mining   | CID000264 |
| Gold | Daejin Indus Co., Ltd.  | CID000328 |
| Gold | Daye Non-Ferrous Metals Mining Ltd.                           | CID000343 |
| Gold | Degussa Sonne / Mond Goldhandel GmbH                          | CID002867 |
| Gold | DODUCO Contacts and Refining GmbH                             | CID000362 |
| Gold | Dowa  | CID000401 |
| Gold | DSC (Do Sung Corporation)                                     | CID000359 |
| Gold | Eco-System Recycling Co., Ltd.                                | CID000425 |
| Gold | Elemental Refining, LLC                                       | CID001322 |
| Gold | Emirates Gold DMCC  | CID002561 |
| Gold | Fidelity Printers and Refiners Ltd.                           | CID002515 |
| Gold | GCC Gujrat Gold Centre Pvt. Ltd.                              | CID002852 |
| Gold | Geib Refining Corporation                                     | CID002459 |

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| Gold | Gold Refinery of Zijin Mining Group Co., Ltd.                   | CID002243 |
| Gold | Great Wall Precious Metals Co., Ltd. of CBPM                    | CID001909 |
| Gold | Guangdong Jinding Gold Limited                                  | CID002312 |
| Gold | Guoda Safina High-Tech Environmental Refinery Co., Ltd.         | CID000651 |
| Gold | Hangzhou Fuchunjiang Smelting Co., Ltd.                         | CID000671 |
| Gold | HeeSung Metal Ltd.  | CID000689 |
| Gold | Heimerle + Meule GmbH   | CID000694 |
| Gold | Heraeus Metals Hong Kong Ltd.                                   | CID000707 |
| Gold | Heraeus Precious Metals GmbH & Co. KG                           | CID000711 |
| Gold | Hunan Chenzhou Mining Co., Ltd.                                 | CID000767 |
| Gold | HwaSeong CJ CO., LTD.   | CID000778 |
| Gold | Inner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd. | CID000801 |
| Gold | Ishifuku Metal Industry Co., Ltd.                               | CID000807 |
| Gold | Istanbul Gold Refinery  | CID000814 |
| Gold | Italpreziosi  | CID002765 |
| Gold | Japan Mint  | CID000823 |
| Gold | Jiangxi Copper Co., Ltd.  | CID000855 |
| Gold | JSC Ekaterinburg Non-Ferrous Metal Processing Plant             | CID000927 |
| Gold | JSC Uralelectromed  | CID000929 |
| Gold | JX Nippon Mining & Metals Co., Ltd.                             | CID000937 |
| Gold | Kaloti Precious Metals  | CID002563 |
| Gold | Kazakhmys Smelting LLC  | CID000956 |
| Gold | Kazzinc   | CID000957 |
| Gold | Kennecott Utah Copper LLC                                       | CID000969 |
| Gold | KGHM Polska Miedz Spolka Akcyjna                                | CID002511 |
| Gold | Kojima Chemicals Co., Ltd.                                      | CID000981 |
| Gold | Korea Zinc Co., Ltd.  | CID002605 |
| Gold | Kyrgyzaltyn JSC   | CID001029 |
| Gold | Kyshtym Copper-Electrolytic Plant ZAO                           | CID002865 |
| Gold | L'azurde Company For Jewelry                                    | CID001032 |
| Gold | Lingbao Gold Co., Ltd.  | CID001056 |
| Gold | Lingbao Jinyuan Tonghui Refinery Co., Ltd.                      | CID001058 |
| Gold | L'Orfebre S.A.  | CID002762 |
| Gold | LS-NIKKO Copper Inc.  | CID001078 |
| Gold | Luoyang Zijin Yinhui Gold Refinery Co., Ltd.                    | CID001093 |
| Gold | Marsam Metals   | CID002606 |
| Gold | Materion  | CID001113 |
| Gold | Matsuda Sangyo Co., Ltd.  | CID001119 |
| Gold | Metalor Technologies (Hong Kong) Ltd.                           | CID001149 |
| Gold | Metalor Technologies (Singapore) Pte., Ltd.                     | CID001152 |
| Gold | Metalor Technologies (Suzhou) Ltd.                              | CID001147 |
| Gold | Metalor Technologies S.A.                                       | CID001153 |
| Gold | Metalor USA Refining Corporation                                | CID001157 |
| Gold | Metalurgica Met-Mex Penoles S.A. De C.V.                        | CID001161 |
| Gold | Mitsubishi Materials Corporation                                | CID001188 |
| Gold | Mitsui Mining and Smelting Co., Ltd.                            | CID001193 |

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| Gold | MMTC-PAMP India Pvt., Ltd.  | CID002509 |
| Gold | Modeltech Sdn Bhd   | CID002857 |
| Gold | Morris and Watson   | CID002282 |
| Gold | Morris and Watson Gold Coast  | CID002866 |
| Gold | Moscow Special Alloys Processing Plant                                      | CID001204 |
| Gold | Nadir Metal Rafineri San. Ve Tic. A.S.                                      | CID001220 |
| Gold | Navoi Mining and Metallurgical Combinat                                     | CID001236 |
| Gold | Nihon Material Co., Ltd.  | CID001259 |
| Gold | Ogussa Osterreichische Gold- und Silber-Scheideanstalt GmbH                 | CID002779 |
| Gold | Ohura Precious Metal Industry Co., Ltd.                                     | CID001325 |
| Gold | OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet) | CID001326 |
| Gold | OJSC Novosibirsk Refinery   | CID000493 |
| Gold | PAMP S.A.   | CID001352 |
| Gold | Pease & Curren  | CID002872 |
| Gold | Penglai Penggang Gold Industry Co., Ltd.                                    | CID001362 |
| Gold | Planta Recuperadora de Metales SpA  | CID002919 |
| Gold | Prioksky Plant of Non-Ferrous Metals  | CID001386 |
| Gold | PT Aneka Tambang (Persero) Tbk  | CID001397 |
| Gold | PX Precinox S.A.  | CID001498 |
| Gold | Rand Refinery (Pty) Ltd.  | CID001512 |
| Gold | Refinery of Seemine Gold Co., Ltd.  | CID000522 |
| Gold | Remondis Argentia B.V.  | CID002582 |
| Gold | Republic Metals Corporation   | CID002510 |
| Gold | Royal Canadian Mint   | CID001534 |
| Gold | SAAMP   | CID002761 |
| Gold | Sabin Metal Corp.   | CID001546 |
| Gold | Safimet S.p.A   | CID002973 |
| Gold | SAFINA A.S.   | CID002290 |
| Gold | Sai Refinery  | CID002853 |
| Gold | Samduck Precious Metals   | CID001555 |
| Gold | Samwon Metals Corp.   | CID001562 |
| Gold | SAXONIA Edelmetalle GmbH  | CID002777 |
| Gold | Schone Edelmetaal B.V.  | CID001573 |
| Gold | SEMPSA Joyeria Plateria S.A.  | CID001585 |
| Gold | Shandong Tiancheng Biological Gold Industrial Co., Ltd.                     | CID001619 |
| Gold | Shandong Zhaojin Gold & Silver Refinery Co., Ltd.                           | CID001622 |
| Gold | Sichuan Tianze Precious Metals Co., Ltd.                                    | CID001736 |
| Gold | Singway Technology Co., Ltd.  | CID002516 |
| Gold | SOE Shyolkovsky Factory of Secondary Precious Metals                        | CID001756 |
| Gold | Solar Applied Materials Technology Corp.                                    | CID001761 |
| Gold | State Research Institute Center for Physical Sciences and Technology        | CID003153 |
| Gold | Sudan Gold Refinery   | CID002567 |
| Gold | Sumitomo Metal Mining Co., Ltd.   | CID001798 |
| Gold | SungEel HiMetal Co., Ltd.   | CID002918 |

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| Gold     | T.C.A S.p.A  | CID002580 |
| Gold     | Tanaka Kikinzoku Kogyo K.K.                                    | CID001875 |
| Gold     | The Refinery of Shandong Gold Mining Co., Ltd.                 | CID001916 |
| Gold     | Tokuriki Honten Co., Ltd.                                      | CID001938 |
| Gold     | Tongling Nonferrous Metals Group Co., Ltd.                     | CID001947 |
| Gold     | Tony Goetz NV  | CID002587 |
| Gold     | TOO Tau-Ken-Altyn  | CID002615 |
| Gold     | Torecom  | CID001955 |
| Gold     | Umicore Brasil Ltda.   | CID001977 |
| Gold     | Umicore Precious Metals Thailand                               | CID002314 |
| Gold     | Umicore S.A. Business Unit Precious Metals Refining            | CID001980 |
| Gold     | United Precious Metal Refining, Inc.                           | CID001993 |
| Gold     | Universal Precious Metals Refining Zambia                      | CID002854 |
| Gold     | Valcambi S.A.  | CID002003 |
| Gold     | Western Australian Mint (T/a The Perth Mint)                   | CID002030 |
| Gold     | WIELAND Edelmetalle GmbH                                       | CID002778 |
| Gold     | Yamakin Co., Ltd.  | CID002100 |
| Gold     | Yokohama Metal Co., Ltd.                                       | CID002129 |
| Gold     | Yunnan Copper Industry Co., Ltd.                               | CID000197 |
| Gold     | Zhongyuan Gold Smelter of Zhongjin Gold Corporation            | CID002224 |
| Tungsten | A.L.M.T. Corp.   | CID002767 |
| Tungsten | A.L.M.T. TUNGSTEN Corp.  | CID000004 |
| Tungsten | ACL Metais Eireli  | CID002833 |
| Tungsten | Asia Tungsten Products Vietnam Ltd.                            | CID002502 |
| Tungsten | Chenzhou Diamond Tungsten Products Co., Ltd.                   | CID002513 |
| Tungsten | China Minmetals Non-ferrous Metals Holding Co., Ltd.           | CID000239 |
| Tungsten | China National Nonferrous Metals Imp. & Exp. Jiangxi Co., Ltd. | CID002864 |
| Tungsten | Chongyi Zhangyuan Tungsten Co., Ltd.                           | CID000258 |
| Tungsten | Fujian Jinxin Tungsten Co., Ltd.                               | CID000499 |
| Tungsten | Ganzhou Grand Sea W & Mo Group Co., Ltd.                       | CID000524 |
| Tungsten | Ganzhou Haichuang Tungsten Co., Ltd.                           | CID002645 |
| Tungsten | Ganzhou Huaxing Tungsten Products Co., Ltd.                    | CID000875 |
| Tungsten | Ganzhou Jiangwu Ferrotungsten Co., Ltd.                        | CID002315 |
| Tungsten | Ganzhou Seadragon W & Mo Co., Ltd.                             | CID002494 |
| Tungsten | Ganzhou Yatai Tungsten Co., Ltd.                               | CID002536 |
| Tungsten | Global Tungsten & Powders Corp.                                | CID000568 |
| Tungsten | Guangdong Xianglu Tungsten Co., Ltd.                           | CID000218 |
| Tungsten | H.C. Starck Group  | CID000683 |
| Tungsten | H.C. Starck Smelting GmbH & Co. KG                             | CID002542 |
| Tungsten | H.C. Starck Tungsten GmbH                                      | CID002541 |
| Tungsten | Hunan Chenzhou Mining Co., Ltd.                                | CID000766 |
| Tungsten | Hunan Chuangda Vanadium Tungsten Co., Ltd.                     | CID002769 |
| Tungsten | Hunan Chuangda Vanadium Tungsten Co., Ltd. Wuji                | CID002579 |
| Tungsten | Hunan Chunchang Nonferrous Metals Co., Ltd.                    | CID000769 |
| Tungsten | Hunan Litian Tungsten Industry Co., Ltd.                       | CID003182 |
| Tungsten | Hydrometallurg, JSC  | CID002649 |

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| Tungsten | Japan New Metals Co., Ltd.                                    | CID000825 |
| Tungsten | Jiangwu H.C. Starck Tungsten Products Co., Ltd.               | CID002551 |
| Tungsten | Jiangxi Dayu Longxintai Tungsten Co., Ltd.                    | CID002647 |
| Tungsten | Jiangxi Gan Bei Tungsten Co., Ltd.                            | CID002321 |
| Tungsten | Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd.         | CID002313 |
| Tungsten | Jiangxi Rare Metals Tungsten Holdings Group Co., Ltd.         | CID002648 |
| Tungsten | Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd. | CID002318 |
| Tungsten | Jiangxi Xinheng Tungsten Industry Co., Ltd.                   | CID002317 |
| Tungsten | Jiangxi Xiushui Xianggan Nonferrous Metals Co., Ltd.          | CID002535 |
| Tungsten | Jiangxi Yaosheng Tungsten Co., Ltd.                           | CID002316 |
| Tungsten | Kennametal Fallon   | CID000966 |
| Tungsten | Kennametal Huntsville   | CID000105 |
| Tungsten | Kennametal Inc.   | CID002768 |
| Tungsten | Malipo Haiyu Tungsten Co., Ltd.                               | CID002319 |
| Tungsten | Moliren Ltd.  | CID002845 |
| Tungsten | Niagara Refining LLC  | CID002589 |
| Tungsten | Nui Phao H.C. Starck Tungsten Chemicals Manufacturing LLC     | CID002543 |
| Tungsten | Philippine Chuangxin Industrial Co., Inc.                     | CID002827 |
| Tungsten | South-East Nonferrous Metal Company Limited of Hengyang City  | CID002815 |
| Tungsten | Tejing (Vietnam) Tungsten Co., Ltd.                           | CID001889 |
| Tungsten | Unecha Refractory metals plant                                | CID002724 |
| Tungsten | Vietnam Youngsun Tungsten Industry Co., Ltd.                  | CID002011 |
| Tungsten | Wolfram Bergbau und Hutten AG                                 | CID002044 |
| Tungsten | WOLFRAM Company CJSC  | CID002047 |
| Tungsten | Woltech Korea Co., Ltd.                                       | CID002843 |
| Tungsten | Xiamen Tungsten (H.C.) Co., Ltd.                              | CID002320 |
| Tungsten | Xiamen Tungsten Co., Ltd.                                     | CID002082 |
| Tungsten | Xinfeng Huarui Tungsten & Molybdenum New Material Co., Ltd.   | CID002830 |
| Tungsten | Xinhai Rendan Shaoguan Tungsten Co., Ltd.                     | CID002095 |
| Tungsten | Zhuzhou Cemented Carbide Group Co., Ltd.                      | CID002236 |